Softening and Fracturing Process in Masonry Arches

(Processo di fessurazione e assestamento negli archi lapidei)

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Abstract - The softening and fracturing process in shallow masonry arches is considered. Therefore a purely elastic constitutive law is assumed for the material, coupled with a fracturing crisis condition according to Fracture Mechanics concepts. It is numerically verified how the cracks control the line of thrust, so that the statical behaviour of the structure is improved and the internal loadings are redistributed. It is then possible to define a parameter called “fracturing benefit”. Moreover the intermediate stage of structural damage is caught, which occurs during the loading process and immediately follows and precedes the situations relating to the traditional schemes of elastic and limit analysis.

Sommario - Si considera il problema dell’assestamento per fessurazione di archi elasto-softening ribassati. Si assume quindi una legge costitutiva del materiale puramente elastica, abbinata ad una condizione di crisi per fessurazione nel senso della Meccanica della Frattura. Si verifica numericamente come la presenza di fessure controlli l'andamento della curva delle pressioni, in modo da migliorare il comportamento statico della struttura e da ridistribuirne le sollecitazioni interne, e si perviene alla definizione di un parametro detto “beneficio per fessurazione”. Si coglie inoltre quella fase intermedia di danneggiamento della struttura, che si verifica durante il processo di carico e che immediatamente segue e precede le situazioni relative agli schemi tradizionali di calcolo elastico e a rottura.
1. **INTRODUCTION.**

The analysis of masonry structures constitutes a topic of growing interest, especially in relation to the recent seismical events, which have caused damage to and fracturing of several ancient constructions in Italy.

As regards arch-structures, and more generally vault-structures, the traditional schemes of elastic and limit analysis [1], even if scientifically settled, often leave the structural engineer quite embarrassed. Namely, while the elastic analysis considers the structure completely in compression and then verifies the line of thrust does not go out of the inertial core, the limit analysis is restricted to consider the material as completely incoherent (not traction bearing) and to verify the line of thrust does not go out of the volume of the arch itself. Therefore both these structural analysis schemes do not catch that intermediate stage of damaging, which occurs during the loading process of the structure and immediately follows and precedes the situations relating to the above-mentioned schemes. That is, while the elastic analysis describes the structure up to the rise of the first non-linearities, the limit analysis takes into consideration only the last situation prior to the final collapse.

This need for a more sophisticated computational technique, considering the real constitutive laws of the material and allowing the fracturing stage - intermediate between elastic behaviour and final collapse - to be investigated, has been recently pointed out in [2], where a computational procedure founded on the Minimum Complementary Energy Principle has been proposed. In that context an elastic-incoherent material (Fig. 1-a) and an elastic-brittle material (Fig. 1-b) have been considered. Probably a better schematization, for masonry and more generally for aggregative materials, would be an elastic-softening material (Fig. 1-c) [3].

On the other hand, as Hillerborg [4] has recently shown, considering an elastic-softening constitutive law for the material is equivalent to considering a simply elastic constitutive law, coupled with a fracturing crisis condition according to Fracture Mechanics concepts. Therefore, while the total stress relaxation can be described only by the constitutive law in the former case, in the latter it is realized by the crack propagation mechanism, with evident computational advantages.

It is a traditional belief that cracks in elastic-softening arch-structures control the line of thrust, so that the statical behaviour is improved and the internal loadings are redistributed. The same thing qualitatively happens in elastic-plastic framed structures, with the formation of plastic hinges. The present preliminary investigation has been developed for pointing out such positive fracturing effects, and the problem of softening and fracturing process in shallow masonry arches has been examined. Then a discrete model of the structure has been assumed, constituted by beam finite elements, and a monotonic step by step loading process has been considered. For each loading increment the axial force and the bending moment at the ends of every beam element have been computed. Based on such values, a check against crushing and tensile crises has been performed. When a crushing crisis occurs, such a crisis is considered as definitive for the structure, while in the case of a tensile crisis occurring the routine simulates a crack formation, introducing an elastic hinge of suitable stiffness. The crack depth is determined by means of Fracture Mechanics concepts: it stabilizes at a value, which is a function of the axial force, of its eccentricity and of the geometrical and mechanical features of the cross-section.

Knowing the crack depth, it is possible to compute the rotational stiffness of the crack simulating elastic hinge; then the local stiffness matrix of the cracked element is revised and eventually the global matrix of the arch. The procedure takes into consideration even the possibility of a partial or total

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![Fig. 1 - Constitutive laws for masonry: (a) elastic-incoherent; (b) elastic-brittle; (c) elastic-softening.](image-url)
crack closure, during loading increments following that of crack formation.

As an illustrative application of the above mentioned procedure a family of shallow parabolic arches, with constant span and variable rise, uniformly loaded along the span, will be numerically examined.

2. MECHANISMS OF CRACK OPENING AND CLOSURE.

As has already been anticipated in the Introduction, the elastic-softening material will be replaced by a purely elastic material with the possibility of crack formation and extension. Such an hypothesis is valid only when the structure is sufficiently large so that stress profiles, close to those obtained from Linear Elastic Fracture Mechanics [3][5], can develop at middle distances from the crack tip.

As damage parameter, the relative crack depth \( \xi = \frac{a}{b} \) will be considered (Fig. 2-a) and, as loading parameter, the stress-intensity factor \( K_I \) (Fig. 2-b), which is an amplification factor of the stress field when the loadings are only symmetrical (e.g. axial force and bending moment). The shear force will then be ignored.

\[ \sigma = \frac{K_I}{\sqrt{2\pi r}}, \quad r \ll b \]

![Fig. 2 - Cracked beam element: (a) damage parameter \( \xi \); (b) loading parameter \( K_I \).](image)

It is interesting to recall the energetic meaning of \( K_{IC} \): the square of such a parameter — neglecting a factor of proportionality — namely represents the elastic energy released by the system in a virtual unit crack extension. When \( K_I \) achieves its critical value \( K_{IC} \), it means that such an extension from virtual becomes real, since the released energy in an elementary crack extension is sufficient to provide the surface energy of the new topology.

As is well-known, a bending moment induces a stress-intensity factor at the crack tip equal to (Fig. 2):

\[ K_I^{(M)} = \frac{M}{b^{1/2} t} Y_M(\xi), \]  
(1)

while a tensile axial force \( F \) induces the factor:

\[ K_I^{(F)} = \frac{F}{b^{1/2} t} Y_F(\xi), \]  
(2)

where the functions \( Y_M \) and \( Y_F \), for \( 0 \leq \xi \leq 0.7 \), are [6]:

\[ Y_M(\xi) = 6 \times (1.99 \xi^{1/2} - 2.47 \xi^{3/2} + 12.97 \xi^{5/2} - 23.17 \xi^{7/2} + 24.80 \xi^{9/2}), \]

\[ Y_F(\xi) = 1.99 \xi^{1/2} - 0.41 \xi^{3/2} + 18.70 \xi^{5/2} - 38.48 \xi^{7/2} + 53.85 \xi^{9/2}. \]

When the axial force \( F \) is a compression and the bending moment \( M \) tends to open the crack, as happens at the cross-sections of the arch-structures, the total stress-intensity factor can be obtained ap-
plying the superposition principle:

$$K_i = K_i^{(M)} - K_i^{(F)} = \frac{F}{b^{1/2} t} \left[ \frac{e}{b} Y_M(\xi) - Y_F(\xi) \right].$$  \hspace{1cm} (3)

where $e$ indicates the eccentricity of the equivalent eccentric axial force.

From the critical condition $K_i = K_i^{IC}$, the dimensionless axial force of crack extension can be obtained, as a function of the crack depth $\xi$ and varying the relative eccentricity $e/b$ of the load [7]:

$$F_c = \frac{F_c}{b^{1/2} t K_i^{IC}} = \frac{1}{\frac{e}{b} Y_M(\xi) - Y_F(\xi)}.$$  \hspace{1cm} (4)

The curves of Fig. 3 graphically represent expression (4) and show how — fixed the eccentricity $e/b$ — fracturing achieves a stable stage only after presenting an unstable one. If the load $F$ has not the possibility to follow the unstable descending branch of the curve $e/b = constant$, in a “strain-softening” unloading process, fracturing will have catastrophic behaviour [8] and the representative point will horizontally advance in the diagram of Fig. 3 to meet again the curve $e/b = constant$ on its stable branch. On the other hand the load relaxation possibility, and then the more or less catastrophic fracturing behaviour, depends — as will be shown afterwards — on the geometrical and mechanical structural features, and especially on the degree of redundancy and on the sizes (scale effect).

Then it is important to consider that, for each relative crack depth $\xi$, a relative eccentricity $e/b$ exists, under which crack closes again, at least partially. From the closing condition $K_i = 0$:

$$\frac{e}{b} = -\frac{Y_F(\xi)}{Y_M(\xi)}. \hspace{1cm} (5)$$

The curve of Fig. 4 graphically represents expression (5). The points under the curve represent cracks
and loading conditions for which \( K_1 < 0 \). Afterwards numerical examples will be shown where the crack will tend to close again; a fictitious crack depth \( \xi^* \) (lower than the real depth \( \xi \)) will be defined, obtainable from condition (5) (Fig. 4).

The stiffness loss of a cracked beam cross-section is eventually considered. Namely, while an uncracked cross-section of a beam element carries out an internal action of perfectly fixed joint, a cracked cross-section carries out an internal action of elastically fixed joint, with rotational stiffness (Fig. 2-a):

\[
W = \frac{b^2 t E}{\int_0^\xi \sqrt{M(x)} \, d\xi}
\]

where \( E \) is the Young's modulus of the material. Such a stiffness can be obtained by an energetic balance between elastic work and fracture work [9].

The stiffness matrix of the cracked beam finite element (Fig. 5), is modified only in the four
rotational terms, as follows:

\[
\begin{array}{cccccccc}
 & u_1 & v_1 & \varphi_1 & u_2 & v_2 & \varphi_2 \\
F_1 & \frac{EA}{\ell} & 0 & 0 & -\frac{EA}{\ell} & 0 & 0 \\
T_1 & 12 \frac{EI}{\ell^3} & -6 \frac{EI}{\ell^2} & 0 & -12 \frac{EI}{\ell^3} & -6 \frac{EI}{\ell^2} & \\
M_1 & & & & & & \\
 & & & & & & \\
F_2 & & & & & & \\
T_2 & & & & & & \\
M_2 & & & & & & \\
\end{array}
\]

(7)

where \(A\) and \(I\) indicate area and moment of inertia of the cross-section, and \(\ell\) is the length of the beam finite element.

3. NUMERICAL EXAMPLES.

As a model structure, to which to apply the concepts introduced in the preceding section, consider the shallow arch of Fig. 6, with constant span \(L = 50\) m and variable rise \(h\), rectangular cross-section of thickness \(t = 40\) cm and width \(b = 80\) cm, uniformly loaded along the span. Then hypothesize the arch as constituted in masonry, with tensile strength \(f_t = 30\) kg cm\(^{-2}\), compression strength \(f_c = 500\) kg cm\(^{-2}\), and a critical value \(K_{ic}\) of the stress-intensity factor equal to 50 or 100 kg cm\(^{-3.5}\).

![Fig. 6 - Shallow arch—structure.](image)
As a first case, let it be \( h/L = 1/20 \) and \( K_{lc} = 100 \text{ kg cm}^{-3/2} \). For \( q = 42.67 \text{ kg cm}^{-1} \) in the boundary finite elements the tensile strength \( f_t \) and the compressive stress \( -342 \text{ kg cm}^{-2} < f_c \) are reached. Then the routine computes the corresponding dimensionless axial force \( F_c = 13.95 \) and the eccentricity \( e/b = 0.20 \). The diagram of Fig. 3 shows how, for such values, the crack formation is not possible yet. It is necessary to increase the load \( q \) up to \( 51.86 \text{ kg cm}^{-1} \), so that the couple of values \( F_c = 16.96 \) and \( e/b = 0.20 \) can be achieved and a stable crack of depth \( \xi = 0.05 \) forms (minimum of the curve \( e/b = 0.20 \) of Fig. 3). At this point the routine verifies that other cracks cannot form in the structure, besides those of the boundary elements.

At the following step, an elastic hinge is introduced in the cracked elements, i.e. the original structure is replaced by another one of lower global stiffness, and the above described procedure is repeated, maintaining \( q = 51.86 \text{ kg cm}^{-1} \): the crack depth remains \( 0.05 \) b. Then the load \( q \) is increased to \( 60 \text{ kg cm}^{-1} \), obtaining \( F_c = 19.62 \) and \( e/b = 0.20 \), and then \( \xi = 0.08 \).

At the third step, with \( \xi = 0.08 \) and \( q = 60 \text{ kg cm}^{-1} \), the preceding results are obtained again and then the load is increased to \( q = 62.38 \text{ kg cm}^{-1} \), achieving the crushing in the boundary elements (\( -500 \text{ kg cm}^{-2} \)). Such a collapse is supposed to be definitive.

As a second case, let it be \( h/L = 1/20 \) again and assume a lower fracture resistance \( K_{lc} = 50 \text{ kg cm}^{-3/2} \). In this case, for \( q = 42.67 \text{ kg cm}^{-1} \), at the fixed ends one has \( F_c = 27.90 \) and \( e/b = 0.20 \), from which \( \xi = 0.105 \). The crack formation is allowed by the lower value \( K_{lc} \), which has now been chosen. At the second step, with \( \xi = 0.105 \) and \( q = 42.67 \text{ kg cm}^{-1} \), one has \( F_c = 27.90 \) and \( e/b = 0.198 \) and then a depth \( \xi < 0.105 \). Then the routine resorts to the curve of closure (Fig. 4), which, for \( e/b = 0.198 \), gives \( \xi^* = 0.130 > 0.105 \). Thus the routine chooses the value \( \xi = 0.105 \). Then the load is increased up to \( q = 45 \text{ kg cm}^{-1} \) and the crack stabilizes for \( \xi = 0.110 \).

At the third step \( \xi = 0.110, q = 45 \text{ kg cm}^{-1} \) \( \xi = 0.110 \) results again, and the load is increased to \( 60 \text{ kg cm}^{-1} \). The crack stabilizes for \( \xi = 0.120 \).

At the fourth step, an increment of \( q \) to \( 62.38 \text{ kg cm}^{-1} \) produces the final crushing collapse, with a very slight delay compared to the case of uncracked structure.

As a third case, let it be \( h/L = 1/30 \) and \( K_{lc} = 100 \text{ kg cm}^{-3/2} \). For \( q = 7.71 \text{ kg cm}^{-1} \), at the fixed ends, one has \( F_c = 3.36 \) and \( e/b = 0.30 \), from which \( \xi = 0.335 \). At the second step, with \( \xi = 0.335 \), one obtains \( F_c = 3.40 \) and \( e/b = 0.28 \), from which the new depth is \( \xi = 0.225 \). Then it is necessary to apply the curve of closure (Fig. 4), which gives \( \xi^* = 0.385 \). Therefore the routine maintains \( \xi = 0.335 \). For \( q = 17 \text{ kg cm}^{-1} \), one obtains \( F_c = 7.51 \) and \( e/b = 0.28 \), and then \( \xi = 0.350 \).

At the third step, with \( q = 38.46 \text{ kg cm}^{-1} \), the crushing collapse occurs. Observe how the load of definitive collapse is substantially higher than the load of first fracturing (Table 1).

| Tab. 1 - Loads of first fracture \( q_t \) and final collapse \( q_c \) \((L = 50 \text{ m})\). |
|-------------|-----------------|-----------------|
| \( h/L \)    | \( K_{lc} \)    | \( q_t/q_o \)  | \( q_c/q_o \)  |
| \( 1/20 \)   | 100             | 0.83            | 1.00            |
| \( 1/20 \)   | 50              | 0.68            | 1.00            |
| \( 1/30 \)   | 100             | 0.21            | 1.05            |
| \( 1/30 \)   | 50              | 0.21            | 1.05            |
| \( 1/40 \)   | 100             | 0.15            | 1.11            |
| \( 1/40 \)   | 50              | 0.15            | 0.15            |
As a fourth case, consider \( h/L = 1/30 \) and \( K_{lc} = 50 \text{ kg cm}^{-3/2} \). For \( q = 7.71 \text{ kg cm}^{-1} \) one has \( \xi = 0.40 \) at the ends of the arch. At the second step, one obtains \( \xi = 0.26 \) and, applying the curve of crack closure, from \( \xi = 0.40 \) and \( e/b = 0.26 \) it follows a real closure: \( \xi^* = 0.35 \). At the third step, \( F_c = 6.81 \) and \( e/b = 0.27 \) provide \( \xi = 0.30 \), while the curve of closure gives \( \xi^* = 0.38 \); therefore the crack stabilizes at \( \xi = 0.35 \). Increasing the load — \( q = 30 \text{ kg cm}^{-1} \) — it follows \( \xi = 0.353 \). Then, for \( q = 38.53 \text{ kg cm}^{-1} \), the crushing collapse occurs. The uncracked structure would crush with \( q = 36.71 \text{ kg cm}^{-1} \); therefore the fracturing process has a “beneficial effect” on the load of collapse (Table 1).

As a fifth case, consider \( h/L = 1/40 \) and \( K_{lc} = 100 \text{ kg cm}^{-3/2} \). For \( q = 3.77 \text{ kg cm}^{-1} \) one has \( \xi = 0.67 \) at the arch ends. At the second step the crack closes: \( \xi^* = 0.223 \). At the following steps the crack presents an oscillating behaviour: \( \xi = 0.58 \); \( \xi^* = 0.40 \); \( \xi = 0.45 \). Eventually, for \( q = 28.71 \text{ kg cm}^{-1} \), the crushing collapse occurs. The same collapse would occur for \( q = 25.82 \text{ kg cm}^{-1} \) in the uncracked structure (Table 1).

As a sixth and last case, consider \( h/L = 1/40 \) and \( K_{lc} = 50 \text{ kg cm}^{-3/2} \). Already for \( q = 3.77 \text{ kg cm}^{-1} \) it results \( \xi > 0.7 \) and then the fracture collapse comes before the crushing collapse; thus the fracturing benefit has no way of being observed \(^{(1)} \).

In Table 1, for each rise \( h/L \) and each considered \( K_{lc} \) value, the load \( q_f \) of first fracturing and the load \( q_c \) of final collapse have been summarized; they have been normalized by the load of crushing \( q_0 \), related to the case in which the crack formation is not taken into consideration. The ratio \( q_c/q_0 \) can be defined “fracturing benefit” and is analogous to the “plastic benefit” of the limit analysis.

In Table 2 the results have then been reported, concerning the case in which the sizes of the previous examples are multiplied by 10 (\( L = 500 \text{ m}, t = 4 \text{ m}, b = 8 \text{ m} \)). It is possible to observe how two of the new computed ratios are lower than the corresponding ratios of Table 1. Therefore a scale effect is present; it means that the increase of the system size makes the system itself more brittle, independently of the constitutive law of the material \([10,11]\).

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\( (1) \) When \( \xi > 0.7 \), a definitive fracture collapse is assumed.
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